Compliance Violation Review Flowchart

Violation Received

Self-Reported By APCA Applicant, Candidate or Registrant
- Required Documentation/Information Received
  - Request Letter Sent
  - Response Received
  - Response Not Received [after two attempts]
- All Required Documentation/Information Received
  - Request Letter Sent
  - Response Received
  - Response Not Received [after two attempts]

Located by APCA
- Investigatory Letter Sent To Respondent
  - Response Received
  - Response Not Received [after two attempts]

Matter Forwarded to Compliance Review Panel (CRP)

Referred to Compliance Hearing Panel (CHP)

Sanction Issued
30 Day Appeal Period From Day Respondent Receives Compliance Order
- Appeal Not Received
- Appeal Received
  - Sanction(s) Final Published In APCA Online Sanction Listing
  - Sanction(s) Upheld Published In APCA Online Sanction Listing
  - Sanction Overturned in Whole or in Part

Other Decision (Cease And Desist, Etc.) Please Refer To The APCA Compliance Policies For More Information

No Action Taken Letter/Order Mailed Advising That Although No Action Has Been Taken, Future Occurrences May Be Grounds For Sanction.

Appeal Not Received
- Appeal Received
- Sanction(s) Final Published In APCA Online Sanction Listing

Appeal Received
- Sanction(s) Final Published In APCA Online Sanction Listing